

10/553911

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket: LP-2009

Applicants : KALBERMATTEN, Georges, et al.
Serial No. : Unknown (Based on PCT/EP2004/004127,
filed on April 19, 2004)
Filed : October 21, 2005
Title : PROCESS FOR THE RECOVERY OF STAUROSPORINE
FROM A FERMENTATION BROTH

ART STATEMENT

Mail Stop Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Enclosed is a copy of Morioka et al., Agric. Biol. Chem., (1985), 49 (7),
1959-1963, that is discussed on page 1 of this application.

Enclosed is a copy of Oka et al., Agric. Biol. Chem., (1986), 50, 2723-
2727, that is discussed on pages 1 and 2 of this application.

Enclosed is a copy of Takahashi et al., Actinomycetologica, (1995), 19-26,
that is discussed on page 3 of this application.

A copy of the International Search Report from applicants' corresponding
International patent application is enclosed.

Enclosed is a copy of European Published Patent Application 0444503
(European '503). The International Search Report cited European '503 as being
technological background (Category A), cited Example 4 of European '503 as
being relevant, and cited European '503 as being relevant to Claims 1 to 11 of

applicants' corresponding International patent application. European '503 is discussed on pages 2 and 3 of this application.

Enclosed is a copy of U.S. Patent No. 4,973,552, that the International Search Report stated corresponds to European '552.

Enclosed is a copy of European Published Patent Application 0388962 (European '962). The International Search Report cited European '962 as being technological background (Category A), cited Example 2 of European '962 as being relevant, and cited European '962 as being relevant to Claims 1 to 11 of applicants' corresponding International patent application.

Enclosed is a copy of U.S. Patent No. 5,015,578, that the International Search Report stated corresponds to European '962.

Enclosed is a copy of U.S. Patent No. 5,073,633, that the International Search Report stated corresponds to European '962.

Enclosed is a copy of European Published Patent Application 0238011 (European '011). The International Search Report cited European '011 as being technological background (Category A), cited page 8, column 54 [?], to page 9, column 10 [?], and Example 1 of European '011 as being relevant, and cited European '011 as being relevant to Claims 1 to 11 of applicants' corresponding International patent application. European '011 is cited on page 1 of this application.

Enclosed is a copy of U.S. Patent No. 4,935,415, that the International Search Report stated corresponded to European '011.

Enclosed is a copy of U.S. Patent No. 4,524,145 (Matson). The International Search Report cited Matson as being technological background (Category A), cited column 7, line 40, to column 8, line 23, and Example 1 of Matson as being relevant, and cited Matson as being relevant to Claims 1 to 11 of applicants' corresponding International patent application.

Enclosed is a copy of U.S. Patent No. 4,567,143, that the International Search Report stated corresponds to Matson.

Enclosed is a copy of European Published Patent Application 0579955 (European '955). The International Search Report cited European '955 as being technological background (Category A), cited page 7, column 9 to column 11, and the examples of European '955 as being relevant, and cited European '955 as being relevant to Claims 1 to 11 of applicants' corresponding International patent application. European '955 is discussed on page 1 of this application.

Enclosed is a copy of the U.S. Patent No. 5,344,926, that the International Search Report stated corresponds to European '955.

Enclosed is a copy of U.S. Patent No. 4,107,297 (Omura et al.). The International Search Report cited Omura et al. as being technological background (Category A), cited column 6, line 52, to column 7, line 10, and Examples 1 and 2 of Omura et al. as being relevant, and cited Omura et al. as being relevant to Claims 1 to 11 of applicants' corresponding International patent application. Omura et al. is discussed on pages 1 and 3 of this application.

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A list of the above-mentioned references is enclosed. ~~CONFIDENTIAL~~ 21 OCT 2005

Respectfully submitted,

Oct. 21, 2005
Date

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FORM PTO-1449 INFORMATION DISCLOSURE CITATION (modified)		Atty Docket: LP-2009		Serial No. National Stage Based on 10/553911 PCT/EP2004/004127, filed 04/19/2004)	
Applicant:: KALBERMATTEN, Georges, et al.					
Filing Date: October 21, 2005				Group:	

U.S. PATENT DOCUMENTS							
Examiner Initial		Document Number	Date	Name	Class	Sub-Class	Filing Date
		4,973,552					
		5,015,578					
		5,073,633					
		4,935,415					
		4,567,143					
		5,344,926					
		4,107,297					

FOREIGN PATENT DOCUMENTS							
Examiner Initial		Document Number	Date	Country	Class	Sub-Class	Translation Yes * No
		0444503		Europe			
		0388962		Europe			
		0238011		Europe			
		0579955		Europe			

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)		
		Morioka et al., Agric. Biol. Chem., (1985), 49 (7) 1959-1963
		Oka et al., Agric. Biol. Chem., (1986), 50, 2723-2727
		Takahashi et al., Actinomycetologica, (1995), 19-26

Examiner:	Date Considered:
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.	